



Facility Assessment Record Form (Homes)



This icon indicates that documentation must be available for review during an on-site assessment.
Use the document checklists provided to collect all necessary documents.

Facility: _____

Child Care Licensing #: _____

Texas Rising Star Assessor: _____

Date: _____

CATEGORY 1

Director and Staff Qualifications and Training

Director Qualifications and Training

Staff Qualifications and Training

DIRECTOR QUALIFICATIONS AND TRAINING

DIRECTOR QUALIFICATIONS AND TRAINING

Instructions: Use the staff education worksheet on page 18 to gather information for scoring the following measures, as applicable to facility type. See definitions on page 19.

FORMAL EDUCATION SCORING (points-based)

Licensed Child Care Home (LCCH)– and Registered Child Care Home (RCCH)–Only Programs


	Score of 0	Score of 1	Score of 2	Score of 3
P-DEQT-02	<input type="checkbox"/> None	<input type="checkbox"/> Valid child care administrator’s credential; or <input type="checkbox"/> More than 2 years and up to 4 years as a director in a TRS-certified program or TWC-recognized nationally accredited program	<input type="checkbox"/> Valid Child Development Associate credential (CDA), or Child Care Professional (CCP) credential with 3 college credit hours in business management; <input type="checkbox"/> 9 college credit hours in ECE and 9 credit hours in business management; <input type="checkbox"/> 60 college credit hours with 6 college credit hours in child development and 3 college credit hours in business management; <input type="checkbox"/> Child care administrator’s certificate from a community college with at least 15 college credit hours in child development and 3 college credit hours in business management; <input type="checkbox"/> 72 clock hours of training in child development and 30 clock hours in business management; <input type="checkbox"/> More than 4 years and up to 8 years as a director in a TRS-certified program or TWC-recognized nationally accredited program; or <input type="checkbox"/> Non-expiring director’s certificate from CCR	<input type="checkbox"/> AA/AAS in ECE or closely related field with 6 college credits in ECE and 3 credit hours in business management; <input type="checkbox"/> At least a BA/BS with 12 hours college credit hours in ECE and 3 credit hours in business management; or <input type="checkbox"/> More than 8 years as a director in a TRS-certified program or TWC-recognized nationally accredited program

DIRECTOR EXPERIENCE (All facilities except school-age only programs)

	Score of 0	Score of 1	Score of 2	Score of 3
P-DEQT-04	<input type="checkbox"/> Less than 2 years of experience in early childhood	<input type="checkbox"/> 2–3 years of experience in early childhood	<input type="checkbox"/> 4–5 years of experience in early childhood	<input type="checkbox"/> 6 or more years of experience in early childhood

STAFF QUALIFICATIONS AND TRAINING

Staff Qualifications and Training

Type	Standard	Measure	Scoring
All Facility Types	S-COTQ-01	<p><i>STAFF ORIENTATION</i> Before beginning child care duties, all teaching staff members (to include volunteers or substitutes, if applicable) receive documented in-person interactive orientation with the director/administrator to improve knowledge of the child care operation, specific job responsibilities, and children’s needs.</p> <p>Orientation documentation is dated on/prior to the date the teacher starts working in the classroom, is observed in the teacher’s staff file, and includes the following topics:</p> <p>A. Texas Rising Star program and criteria B. Policies of the facility C. An overview of the developmental needs and expectations of children in the assigned age group D. The planned daily activities of the facility</p> <p> Teacher Orientation and Facility Staff List</p> <p><u>NOTES</u></p>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET <input type="checkbox"/> N/A

Category 1: Director and Staff Qualifications and Training
STAFF QUALIFICATIONS AND TRAINING

Type	Standard	Measure	Scoring
RCCH and LCCH Facilities Only	S-COTQ-07  Annual Staff Training Plans with Certificates <i>N/A allowed if program is an initial applicant.</i> NOTES	STAFF TRAINING <i>Primary staff has 36 hours of documented training, with a minimum of 12 clock hours of instructor-led training.</i>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET <input type="checkbox"/> N/A
RCCH and LCCH Facilities Only	S-COTQ-08  Annual Staff Training Plans with Certificates <i>N/A allowed if program is an initial applicant and/or does not have additional caregiving staff.</i> NOTES	STAFF TRAINING <i>If applicable, all staff members have 30 hours of documented training, with a minimum of 12 clock hours of instructor-led training (not including director-led training).</i>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET <input type="checkbox"/> N/A

CATEGORY 3

Program Administration


Family Education

Family Involvement


Program Management

FAMILY EDUCATION

Family Education

Type	Standard	Measure	Scoring
All Facility Types	S-FE-01	<p><i>Parents are provided with written policies and procedures that include the following:</i></p> <ul style="list-style-type: none"> • Program philosophy and goal • Curriculum goals • Family participation • Drop-off and pickup procedures • Parent conferences • Fee structure • Late payments and refund information • Absences • Clothing guidelines • Inclement weather policy • Withdrawal from program procedures (in case of an event that causes the center to no longer be able to provide care for a child) • Physical activity • Screen time policies • Nutrition education and procedures • Breastfeeding policies and resources (programs that serve infants ages 0–17 months) • Procedure to allow parents to update contact information at all times without staff assistance <p><i>Policies are reviewed annually and updated if necessary.</i></p> <div style="display: flex; align-items: center;">  <p>Parent Orientation</p> </div> <p><u>NOTES</u></p>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET

FAMILY EDUCATION

Type	Standard	Measure	Scoring
<p>All Facility Types</p>	<p>P-FE-01</p>	<p><i>The program conducts an orientation with the family at enrollment. A signed and dated copy of the content of the orientation is kept in the child's file. The orientation includes the following:</i></p> <ul style="list-style-type: none"> <input type="checkbox"/> A tour of the facility <input type="checkbox"/> An introduction to the teaching staff <input type="checkbox"/> A parent visit with the classroom teacher <input type="checkbox"/> An overview of the parent handbook <input type="checkbox"/> The policy for arrival and late arrival <input type="checkbox"/> An opportunity for an extended visit in the classroom by both parent and child for a period of time to allow both to be comfortable <input type="checkbox"/> An explanation of Texas Rising Star quality certification <input type="checkbox"/> A statement encouraging parents to inform the facility of any elements related to their CCS enrollment that the program may be able to help with <input type="checkbox"/> An overview of family support resources and activities in the community <input type="checkbox"/> Information on child development and developmental milestones <input type="checkbox"/> A statement informing parents of the significance of consistent arrival time, including the points that children should arrive before the educational portion of the program begins, to limit disruption, and that consistent routines prepare children for the transition to kindergarten <input type="checkbox"/> A statement to parents regarding limiting technology use on-site (e.g., encouraging them to refrain from cell phone use). In order to facilitate better communication between the parents and the teacher and the parents and the child, it is best if parents are not distracted by use of electronic devices while at the center/home <input type="checkbox"/> A statement to parents reflecting the role and influence of families <p> Parent Orientation</p> <p><u>NOTES</u></p>	<p>____ out of 13 items present in orientation.</p> <p>Score: ____</p> <p>0=Fewer than 50% (0–6 met) of the elements are included in the orientation</p> <p>1= 50% (at least 7 met) of the elements are included in the orientation</p> <p>2= 65% (at least 9 met) of the elements are included in the orientation</p> <p>3= 85% (at least 11 met) of the elements are included in the orientation</p>

FAMILY EDUCATION

The program provides families with opportunities to better understand the child's growth and development.

NOTES

All
Facility
Types

P-FE-02

Score: _____

0= Not met



1= Posting of parent resources within the community

2= Score of 1, plus: Written communication such as articles, handouts, and newsletters are given out to parents a minimum of 4 times a year = quarterly. Parents are referred to other professionals and local community resources when needed.

3= Score of 2, plus: A resource area with parent education materials is available. Parent education opportunities are documented and offered at least annually and could be offered during program events such as holiday programs and open houses.

FAMILY INVOLVEMENT

Family Involvement

Type	Standard	Measure	Scoring
All Facility Types	S-FI-02	<p><i>Director/Parent/Teacher Collaboration Regarding Challenging Behavior</i></p> <p><i>Program has a written policy/process for addressing challenging behaviors of children. The policy/process includes teacher and/or director having ongoing conversations with parents to express concerns and discuss strategies in addressing challenging behaviors. Conversations are framed around the objective of the program. Parents are kept informed of their child’s progress.</i></p>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET
		<p> Written Policy/Process for Addressing Challenging Behaviors</p> <p><u>NOTES</u></p>	
All Facility Types	S-FI-03	<p><i>The director and teachers should be able to provide evidence that they are working together with the parents about decisions regarding the child’s experience, which may include written reports for children and a parent communication log.</i></p>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET
		<p><u>NOTES</u></p>	
All Facility Types	S-FI-04	<p><i>Information about community resources is available to the parent/family.</i></p>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET
		<p> Community Resources</p> <p><u>NOTES</u></p>	

Category 3: Program Administration

FAMILY INVOLVEMENT

Type	Standard	Measure	Score of 0	Score of 1	Score of 2	Score of 3
All Facility Types	P-FI-01	<p><i>Parents have structured opportunities to provide input that may influence the program.</i></p> <p><u>NOTES</u></p>	<input type="checkbox"/> No opportunities are provided.	<input type="checkbox"/> Director seeks out parent suggestions either verbally or in writing and can provide evidence.	<input type="checkbox"/> The center/home has an ongoing process to receive and review suggestions and recommendations from the parents.	<input type="checkbox"/> Parents are offered an annual written evaluation and/or survey. Suggestions and evaluation results are integrated into the program operation when applicable.
		<hr/>				
All Facility Types	P-FI-02	<p><i>Parent/teacher conferences are held. Conferences can be held in person or by phone.</i></p> <p><u>NOTES</u></p>	<input type="checkbox"/> No opportunities are provided.	<input type="checkbox"/> Parent/teacher conferences are available upon parent request.	<input type="checkbox"/> A scheduled conference is offered to parents to exchange information a minimum of 1 time per year. Children’s progress and overall development are discussed. Documentation of the conference is made, dated, and signed by the parent and teacher, and a copy is kept in the child’s file.	<input type="checkbox"/> A scheduled conference is offered to parents to exchange information a minimum of 2 times a year. A system is in place to share information with parents on an ongoing basis. Information from teacher observations and written assessment is shared.
		<hr/>				
All Facility Types	P-FI-03	<p><i>Families are invited to participate in program-related activities.</i></p> <p><u>NOTES</u></p>	<input type="checkbox"/> No opportunities are provided.	<input type="checkbox"/> Families are invited to and encouraged to attend 1 event.	<input type="checkbox"/> Families are invited to and encouraged to attend 2 events.	<input type="checkbox"/> Families are invited to and encouraged to attend 3 or more events.
		<hr/>				

PROGRAM MANAGEMENT

Program Management

Type	Standard	Measure	Scoring
All Facility Types	S-PM-01	<p><i>Program supports families and children who may need additional accommodations, to include home language, special needs/differing abilities, and cultural backgrounds.</i></p> <p>NOTES</p>	<input type="checkbox"/> MET <input type="checkbox"/> NOT MET

Points-based measures

Type	Standard	Measure	Score of 0	Score of 1	Score of 2	Score of 3
All Facility Types	P-PM-01	<p><i>Program offers staff formal compensatory supports to encourage staff retention</i></p> <p>NOTES</p>	<input type="checkbox"/> No additional supports are offered.	<input type="checkbox"/> 1 additional support is offered.	<input type="checkbox"/> 2 additional supports are offered.	<input type="checkbox"/> 3 or more additional supports are offered.

PROGRAM MANAGEMENT

Type	Standard	Measure	Score of 0	Score of 1	Score of 2	Score of 3
All Facility Types	P-PM-02	<p><i>HEALTH AND NUTRITION PRACTICES</i></p> <p><i>Program demonstrates health and nutrition policies for children and parents that are structured to ensure the program supports whole child development</i></p> <p><u>NOTES</u></p>	<input type="checkbox"/> Program has no evidence to support planning for the nutritional and health needs of the children they serve.	<input type="checkbox"/> Program has minimal evidence (1–2 items) to support planning for the nutritional and health needs of the children they serve.	<input type="checkbox"/> Program has moderate evidence (3–4 items) to support planning for the nutritional and health needs of the children they serve.	<input type="checkbox"/> Program consults with a professional at least annually regarding providing children with nutritional and health activities that support whole child development or has consistent evidence (5 or more items) to support planning for the nutritional and health needs of the children they serve.
		<p><i>Program uses a developmentally appropriate curriculum that aligns with early learning guidelines/standards</i></p> <p><u>NOTES</u></p>	<input type="checkbox"/> 0–25% of age groups use curriculum when planning.	<input type="checkbox"/> 26–50% of age groups use curriculum when planning.	<input type="checkbox"/> 51–75% of age groups use curriculum when planning.	<input type="checkbox"/> 76–100% of age groups use curriculum when planning.
All Facility Types	P-PM-03	<u>NOTES</u>				

PROGRAM MANAGEMENT

Type	Standard	Measure	Score of 0	Score of 1	Score of 2	Score of 3
All Facility Types	P-PM-04	<i>Program provides support to teachers for curriculum planning</i>	<input type="checkbox"/> No evidence of additional supports, or administrative staff completes lesson plans for the teachers.	<input type="checkbox"/> 1 support is provided consistently.	<input type="checkbox"/> 2–3 supports are provided consistently.	<input type="checkbox"/> At least 4 supports are provided consistently.
		NOTES				
All Facilities except School-Age-Only Programs	P-PM-05	<i>Program supports the use of assessments (formal and/or informal) that measure children’s developmental progress.</i>	<input type="checkbox"/> No evidence of assessments (formal or informal) is noted.	<input type="checkbox"/> The program observes developmental progress and there is no formal documentation of this observation.	<input type="checkbox"/> The program uses an informal assessment for observing developmental progress.	<input type="checkbox"/> The program uses a formal assessment for observing developmental progress.
		NOTES				

PROGRAM MANAGEMENT

Type	Standard	Measure	Score of 0	Score of 1	Score of 2	Score of 3
All Facilities except School-Age-Only Programs	P-PM-06	<i>Program encourages and supports teachers' use of assessments to guide their instructional planning for the children in their class.</i>	<input type="checkbox"/> Program does not use assessments.	<input type="checkbox"/> Program shows evidence of having a written process for using assessments, but it is not used to inform instruction.	<input type="checkbox"/> Program shows evidence of having a formal/written process for using informal assessments, and it is used to inform instruction.	<input type="checkbox"/> Program shows evidence of having a formal/written process for using formal assessments, and it is used to inform instruction.
		<u>NOTES</u>				

CHECKLIST: DOCUMENTS FOR REQUIRED MEASURES

Please make documentation available for review on-site for each of the following items:

CATEGORY 1—DIRECTOR AND STAFF QUALIFICATIONS AND TRAINING

All Facilities

- Staff Orientation (S-COTQ-01)
- Annual Staff Training Plans with Certificates (S-DQT-03-04; S-COTQ-03-08)

CATEGORY 3—PROGRAM ADMINISTRATION

All Facilities

- Written Policies and Procedures for Family Education (S-FE-01)
- Written Policy/Process for Addressing Challenging Behaviors (S-FI-02)
- Community Resources (S-FI-04)
- Written Policy/Process for Accommodating Families and Children (S-PM-01)

CHECKLIST: DOCUMENTS FOR POINTS-BASED MEASURES

Please make documentation available for review on-site for each of the following items you would like to have contribute to the scoring of points-based measures:

CATEGORY 1—DIRECTOR AND STAFF QUALIFICATIONS

All Facilities: Director Formal Education and Training Worksheet

- Diploma for Highest Education Attained
- Early Childhood Credentials
- College Coursework Transcripts
- Certificates for Clock Hours
- Work Experience—Resume

All Facilities: Staff Education and Training Worksheet

- Diploma for Highest Education Attained
- Early Childhood Credentials
- College Coursework Transcripts
- Certificates for Clock Hours
- Work Experience—Resume
- Evidence of Working toward a CDA or an Associate's or Bachelor's Degree

CATEGORY 3—PROGRAM ADMINISTRATION

All Facilities

- Parent Orientation (P-FE-01)
- Employee Handbook (P-PM-01 through P-PM-06)
- Compensatory Supports (P-PM-01)
- Health and Nutrition Practices (P-PM-02)
- Curriculum Practices and Supports (P-PM-03 and P-PM-04)
- Child Assessment Forms and Practices (P-PM-05 and P-PM-06)

Texas Rising Star Program Staff Education Worksheet

Staff Name	Hire Date	Title/Age group	Highest Level of Education/ Credential	# of College Hours in ECE/CD	# of College Hours in Bus. Admin.	Denote If Currently Working Toward Higher Education	# of Years of Experience	Date of CC Orientation	Current Training Plan Signed/ in File	# of Training Hours Last Year
Director										
Staff										

Texas Rising Star Program Staff Education Worksheet

KEY EXAMPLES/DEFINITIONS FOR COLUMNS ON STAFF EDUCATION SHEET

Highest Level of Education

- High school diploma/GED
- Associate's degree
- Bachelor's degree
- Master's degree

Early Childhood Credentials

- Valid Child Development Associate (CDA) credential
- Valid Child Care Professional (CCP) credential
- Valid child care administrator's credential

Number of training hours last year

When assessing clock hours, use the hire date and calculate the total for the calendar year prior to the current calendar year.

Director Experience:

The following types of experience may be counted as experience in a licensed child care center:

- (1) Experience as a director or assistant director or as a teacher working directly with children, obtained in any CCL licensed child care center, whether paid or unpaid
- (2) Experience as a director, assistant director, or teacher working directly with children, whether paid or unpaid, in a licensed child care facility, registered child care home, kindergarten, or nursery school, in schools for grades kindergarten and above, in a drop-in care center, or in a CCL alternatively accredited program
- (3) Experience as a director, assistant director, or teacher working directly with children in a licensed or certified child care center in another state or country

The following types of experience may be counted as experience in a licensed or registered child care home:

- (1) Experience as a primary teacher or assistant teacher working directly with children, whether paid or unpaid, in a CCL licensed or registered child care home;
- (2) Experience as a director, assistant director, or teacher working directly with children, whether paid or unpaid, in a CCL licensed group day care home; or
- (3) Experience as a primary teacher of a CCL registered family home.

Reference: HHSC Child Care Licensing Minimum Standard §746.1021

Related Fields of Coursework:

Related field coursework areas include early childhood education, child growth and development, psychology, sociology, classroom management, child psychology, health and safety of children, and elementary education related to pre-kindergarten through third grade. *Reference: HHSC Child Care Licensing Minimum Standard §746.1027*

Management Coursework:

Management coursework areas include administration of a child care facility, recreational leadership, accounting, goal and objective setting, performance planning and evaluation, management techniques, risk management, and other administrative, management, or supervisory-related courses. Courses in office machines or computer training are not recognized as management. *Reference: Child Care Licensing Minimum Standard §746.1029*

College Coursework

- Number of hours in early childhood education
- Number of hours in business management

Working toward Higher Education

- Currently enrolled in CDA program
- Currently enrolled in associate's degree plan
- Currently enrolled in bachelor's or master's degree plan